

# Theoretical Computer Science Complexity Theory

Jonas Hübotter

# Outline

$\mathcal{P}$

$\mathcal{NP}$

Polynomially Bounded Verifier

Polynomial Reduction

$\mathcal{NP}$ -completeness

Approximation Algorithm

$\mathcal{P}$

$\mathcal{P}$  is the class of problems that can be solved by a DTM in polynomial time.

$\mathcal{P}$

$\mathcal{P}$  is the class of problems that can be solved by a DTM in polynomial time.

### Definition 1

We define

$$\text{time}_M(w) = (\# \text{steps until DTM } M[w] \text{ halts}) \in \mathbb{N} \cup \{\infty\}$$

$\mathcal{P}$ 

$\mathcal{P}$  is the class of problems that can be solved by a DTM in polynomial time.

### Definition 1

We define

$$\begin{aligned} \text{time}_M(w) &= (\# \text{steps until DTM } M[w] \text{ halts}) \in \mathbb{N} \cup \{\infty\} \\ \text{TIME}(f(n)) &= \{A \subseteq \Sigma^* \mid \exists \text{DTM } M. A = L(M) \wedge \forall w \in \Sigma^*. \\ &\quad \text{time}_M(w) \leq f(|w|)\} \\ &\quad \text{for a total function } f : \mathbb{N} \rightarrow \mathbb{N} \end{aligned}$$

$\mathcal{P}$ 

$\mathcal{P}$  is the class of problems that can be solved by a DTM in polynomial time.

### Definition 1

We define

$$\begin{aligned} \text{time}_M(w) &= (\# \text{steps until DTM } M[w] \text{ halts}) \in \mathbb{N} \cup \{\infty\} \\ \text{TIME}(f(n)) &= \{A \subseteq \Sigma^* \mid \exists \text{DTM } M. A = L(M) \wedge \forall w \in \Sigma^*. \\ &\quad \text{time}_M(w) \leq f(|w|)\} \\ &\quad \text{for a total function } f : \mathbb{N} \rightarrow \mathbb{N} \end{aligned}$$

Then, the complexity class  $\mathcal{P}$  is given as

$$\mathcal{P} = \bigcup_{p \in \text{polynomial}} \text{TIME}(p(n))$$

$\mathcal{P}$ 

$\mathcal{P}$  is the class of problems that can be solved by a DTM in polynomial time.

### Definition 1

We define

$$\begin{aligned} \text{time}_M(w) &= (\# \text{steps until DTM } M[w] \text{ halts}) \in \mathbb{N} \cup \{\infty\} \\ \text{TIME}(f(n)) &= \{A \subseteq \Sigma^* \mid \exists \text{DTM } M. A = L(M) \wedge \forall w \in \Sigma^*. \\ &\quad \text{time}_M(w) \leq f(|w|)\} \\ &\quad \text{for a total function } f : \mathbb{N} \rightarrow \mathbb{N} \end{aligned}$$

Then, the complexity class  $\mathcal{P}$  is given as

$$\mathcal{P} = \bigcup_{p \in \text{polynomial}} \text{TIME}(p(n)) = \bigcup_{k \geq 0} \text{TIME}(\mathcal{O}(n^k))$$

where  $\text{TIME}(\mathcal{O}(f)) = \bigcup_{g \in \mathcal{O}(f)} \text{TIME}(g)$ .

$\mathcal{NP}$

$\mathcal{NP}$  is the class of problems that can be solved by a NTM in polynomial time.



# $\mathcal{NP}$

$\mathcal{NP}$  is the class of problems that can be solved by a NTM in polynomial time.

## Definition 2

We define

$$\text{ntime}_M(w) = \begin{cases} (\text{minimal \#steps for NTM } M[w] \text{ to halt}) & w \in L(M) \\ 0 & w \notin L(M) \end{cases}$$

# $\mathcal{NP}$

$\mathcal{NP}$  is the class of problems that can be solved by a NTM in polynomial time.

## Definition 2

We define

$$\text{ntime}_M(w) = \begin{cases} (\text{minimal \#steps for NTM } M[w] \text{ to halt}) & w \in L(M) \\ 0 & w \notin L(M) \end{cases}$$

$$\text{NTIME}(f(n)) = \{A \subseteq \Sigma^* \mid \exists \text{NTM } M. A = L(M) \wedge \forall w \in \Sigma^*. \\ \text{ntime}_M(w) \leq f(|w|)\}$$

for a total function  $f : \mathbb{N} \rightarrow \mathbb{N}$

# $\mathcal{NP}$

To simplify the notation, we do not require that the NTM  $M$  terminates for inputs  $w \notin L(M)$ . This is not a restriction as we can always define the NTM  $M'$  which returns 0 after  $p(|w|)$  steps (timeout).

# $\mathcal{NP}$

To simplify the notation, we do not require that the NTM  $M$  terminates for inputs  $w \notin L(M)$ . This is not a restriction as we can always define the NTM  $M'$  which returns 0 after  $p(|w|)$  steps (timeout).

## Definition 3

The complexity class  $\mathcal{NP}$  is given as

$$\mathcal{NP} = \bigcup_{p \in \text{polynomial}} \text{NTIME}(p(n))$$

# $\mathcal{NP}$

To simplify the notation, we do not require that the NTM  $M$  terminates for inputs  $w \notin L(M)$ . This is not a restriction as we can always define the NTM  $M'$  which returns 0 after  $p(|w|)$  steps (timeout).

## Definition 3

The complexity class  $\mathcal{NP}$  is given as

$$\mathcal{NP} = \bigcup_{p \in \text{polynomial}} \text{NTIME}(p(n)) = \bigcup_{k \geq 0} \text{NTIME}(\mathcal{O}(n^k)).$$

## Polynomially Bounded Verifier

A problem  $A$  is in  $\mathcal{NP}$  if and only if solutions (which are described by *certificates*) to the problem can be verified in polynomial time by a DTM (a *polynomially bounded verifier*).

# Polynomially Bounded Verifier

A problem  $A$  is in  $\mathcal{NP}$  if and only if solutions (which are described by *certificates*) to the problem can be verified in polynomial time by a DTM (a *polynomially bounded verifier*).

## Intuition

- A decision problem can be thought of an exploration of the search space consisting of all instances with the goal of finding a solution.

# Polynomially Bounded Verifier

A problem  $A$  is in  $\mathcal{NP}$  if and only if solutions (which are described by *certificates*) to the problem can be verified in polynomial time by a DTM (a *polynomially bounded verifier*).

## Intuition

- A decision problem can be thought of an exploration of the search space consisting of all instances with the goal of finding a solution.
- Problems in  $\mathcal{NP}$  may be harder than problems in  $\mathcal{P}$  as a NTM is able to pursue exponentially many paths in the search tree.



# Polynomially Bounded Verifier

A problem  $A$  is in  $\mathcal{NP}$  if and only if solutions (which are described by *certificates*) to the problem can be verified in polynomial time by a DTM (a *polynomially bounded verifier*).

## Intuition

- A decision problem can be thought of an exploration of the search space consisting of all instances with the goal of finding a solution.
- Problems in  $\mathcal{NP}$  may be harder than problems in  $\mathcal{P}$  as a NTM is able to pursue exponentially many paths in the search tree.
- However, once the NTM found a path, the length of this path must be polynomial in the size of the input.

# Polynomially Bounded Verifier

A problem  $A$  is in  $\mathcal{NP}$  if and only if solutions (which are described by *certificates*) to the problem can be verified in polynomial time by a DTM (a *polynomially bounded verifier*).

## Intuition

- A decision problem can be thought of an exploration of the search space consisting of all instances with the goal of finding a solution.
- Problems in  $\mathcal{NP}$  may be harder than problems in  $\mathcal{P}$  as a NTM is able to pursue exponentially many paths in the search tree.
- However, once the NTM found a path, the length of this path must be polynomial in the size of the input.
- Thus, a DTM must be able to verify that a given path is correct in polynomial time.

# Polynomially Bounded Verifier

## Definition 4

Let  $M$  be a DTM with  $L(M) = \{w\#c \mid w \in \Sigma^*, c \in \Delta^*\}$ .

# Polynomially Bounded Verifier

## Definition 4

Let  $M$  be a DTM with  $L(M) = \{w\#c \mid w \in \Sigma^*, c \in \Delta^*\}$ .

- If  $w\#c \in L(M)$ ,  $c$  is a **certificate** for  $w$ .

# Polynomially Bounded Verifier

## Definition 4

Let  $M$  be a DTM with  $L(M) = \{w\#c \mid w \in \Sigma^*, c \in \Delta^*\}$ .

- If  $w\#c \in L(M)$ ,  $c$  is a **certificate** for  $w$ .
- $M$  is a **polynomially bounded verifier** for the language  $\{w \in \Sigma^* \mid \exists c \in \Delta^*. w\#c \in L(M)\}$  (i.e. the language of all words that have a certificate) if there exists a polynomial  $p$  such that  $\text{time}_M(w\#c) \leq p(|w|)$ .

# Polynomially Bounded Verifier

## Definition 4

Let  $M$  be a DTM with  $L(M) = \{w\#c \mid w \in \Sigma^*, c \in \Delta^*\}$ .

- If  $w\#c \in L(M)$ ,  $c$  is a **certificate** for  $w$ .
- $M$  is a **polynomially bounded verifier** for the language  $\{w \in \Sigma^* \mid \exists c \in \Delta^*. w\#c \in L(M)\}$  (i.e. the language of all words that have a certificate) if there exists a polynomial  $p$  such that  $\text{time}_M(w\#c) \leq p(|w|)$ .

Especially:  $c \leq p(|w|)$ , i.e. the size of the certificate must be polynomially bounded by the size of the input.

# Polynomial Reduction

## Definition 5

Given problems  $A \subseteq \Sigma^*$ ,  $B \subseteq \Gamma^*$ ,  $A$  is **polynomially reducible** to  $B$  (denoted  $A \leq_p B$ ) if there exists a total and by a DTM in polynomial time computable function  $f : \Sigma^* \rightarrow \Gamma^*$  such that

$$\forall w \in \Sigma^*. w \in A \iff f(w) \in B.$$

# Polynomial Reduction

## Definition 5

Given problems  $A \subseteq \Sigma^*$ ,  $B \subseteq \Gamma^*$ ,  $A$  is **polynomially reducible** to  $B$  (denoted  $A \leq_p B$ ) if there exists a total and by a DTM in polynomial time computable function  $f : \Sigma^* \rightarrow \Gamma^*$  such that

$$\forall w \in \Sigma^*. w \in A \iff f(w) \in B.$$

The complexity classes  $\mathcal{P}$  and  $\mathcal{NP}$  are closed under polynomial reduction.



# $\mathcal{NP}$ -completeness

## Definition 6

- The language  $L$  is  $\mathcal{NP}$ -hard if  $\forall A \in \mathcal{NP}. A \leq_p L$ .

# $\mathcal{NP}$ -completeness

## Definition 6

- The language  $L$  is  $\mathcal{NP}$ -hard if  $\forall A \in \mathcal{NP}. A \leq_p L$ .
- The language  $L$  is  $\mathcal{NP}$ -complete if  $L \in \mathcal{NP}$  and  $L$  is  $\mathcal{NP}$ -hard.

# $\mathcal{NP}$ -completeness

## Definition 6

- The language  $L$  is  $\mathcal{NP}$ -hard if  $\forall A \in \mathcal{NP}. A \leq_p L$ .
- The language  $L$  is  $\mathcal{NP}$ -complete if  $L \in \mathcal{NP}$  and  $L$  is  $\mathcal{NP}$ -hard.

## Example 7 (Proving $\mathcal{NP}$ -completeness)

# $\mathcal{NP}$ -completeness

## Definition 6

- The language  $L$  is  $\mathcal{NP}$ -hard if  $\forall A \in \mathcal{NP}. A \leq_p L$ .
- The language  $L$  is  $\mathcal{NP}$ -complete if  $L \in \mathcal{NP}$  and  $L$  is  $\mathcal{NP}$ -hard.

## Example 7 (Proving $\mathcal{NP}$ -completeness)

- If  $A \leq_p B$  and  $A$  is  $\mathcal{NP}$ -hard, then  $B$  is  $\mathcal{NP}$ -hard.

# $\mathcal{NP}$ -completeness

## Definition 6

- The language  $L$  is  $\mathcal{NP}$ -hard if  $\forall A \in \mathcal{NP}. A \leq_p L$ .
- The language  $L$  is  $\mathcal{NP}$ -complete if  $L \in \mathcal{NP}$  and  $L$  is  $\mathcal{NP}$ -hard.

## Example 7 (Proving $\mathcal{NP}$ -completeness)

- If  $A \leq_p B$  and  $A$  is  $\mathcal{NP}$ -hard, then  $B$  is  $\mathcal{NP}$ -hard.
- If  $A \leq_p B$  and  $B \in \mathcal{NP}$ , then  $A \in \mathcal{NP}$ .

# $\mathcal{NP}$ -completeness

## Definition 6

- The language  $L$  is  $\mathcal{NP}$ -hard if  $\forall A \in \mathcal{NP}. A \leq_p L$ .
- The language  $L$  is  $\mathcal{NP}$ -complete if  $L \in \mathcal{NP}$  and  $L$  is  $\mathcal{NP}$ -hard.

## Example 7 (Proving $\mathcal{NP}$ -completeness)

- If  $A \leq_p B$  and  $A$  is  $\mathcal{NP}$ -hard, then  $B$  is  $\mathcal{NP}$ -hard.
- If  $A \leq_p B$  and  $B \in \mathcal{NP}$ , then  $A \in \mathcal{NP}$ .
- If there exists a polynomially bounded verifier for  $A$ , then  $A \in \mathcal{NP}$ .

# Approximation Algorithm

## Definition 8

A  $d$ -approximation algorithm ( $d \in \mathbb{R}$ ) for an optimization problem is an algorithm that computes in polynomial time a solution to the problem that is at most  $d$  times worse than the optimal solution.